

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: JON N. LEONARD ET AL.
SERIAL NO.: 09/477777

FILED: December 30, 1999

FOR: SYSTEM AND METHOD FOR ENABLING THE
ORIGINATOR OF AN ELECTRONIC MAIL MESSAGE
TO PRESET AN EXPIRATION TIME, DATE, AND/OR
EVENT, AND TO CONTROL AND TRACK
PROCESSING OR HANDLING BY ALL RECIPIENTS

GROUP ART UNIT: 2773

EXAMINER: Not yet assigned

ATTY. REFERENCE: BEU/LEONARD2

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OCT 17 2001

Technology Center 2100

THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

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| <input type="checkbox"/> Priority Document | <input type="checkbox"/> Check in the Amount of \$ _____ |
| <input type="checkbox"/> Formal Drawings | <input checked="" type="checkbox"/> Information Disclosure Statement with 2 refs. |
| <input type="checkbox"/> Small Entity Declaration(s) | <input type="checkbox"/> |

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PATENT TRADEMARK OFFICE

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DATE: October 16, 2001

Respectfully submitted,

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Registration Number: 33,805



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Jon N. LEONARD et al.

Serial No: 09/475,112

Filed: December 30, 1999

) Examiner: Not Yet Assigned
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Group Art Unit: 2773

For: SYSTEM AND METHOD FOR ENABLING THE
ORIGINATOR OF AN ELECTRONIC MAIL MESSAGE TO
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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Rule 37 C.F.R. §1.51(b), §1.56, §1.97, and §1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith, except that a copy of any U.S. patent application identified herein or any patent, publication or other information listed herein cited or submitted in a prior application relied upon for an earlier filing date under 35 U.S.C. § 120 and identified below, is not submitted herewith.

CERTIFICATION

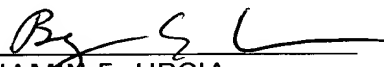
X This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

U.S. Patent Application No. 09/475,112
Page 2

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 02-0200. A duplicate copy is enclosed for use by the Finance Office.

Respectfully submitted,


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